

526 Rec'd CT/PTO 13 JUL 2000 *Receipt*

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:  
Assistant Commissioner for Patents, Washington, D.C. 20231.

Typed or Printed Name	Mathew Otts		
Signature	<i>Mathew Otts</i>	Date	July 7, 2000

<b>REQUEST FOR CORRECTED FILING RECEIPT</b>  Address to: Assistant Commissioner for Patents Washington, D.C. 20231	Attorney Docket	GRUE-003
	First Named	Bujard, et al.
	Application Number	09/269,874
	Int'l Filing Date	October 2, 1997
	Group Art Unit	1641
	Examiner Name	N/A
	Title	Recombinant Process For Preparing a Complete Malaria Antigen GP190/MSPI (as amended)

*9.8.00 #14*

*RECEIVED*  
JUL 13 2000  
TECH CENTER 1600-2900  
SEP 01 2000

Sir:

A filing receipt for the above identified patent application has been issued by the U.S. Patent and Trademark Office (copy attached) and has been found to contain the following error(s):

- (1) The title reads "Recombinants Process For Preparing a Complete Malaria Antigen GP190/MSPI", but should read --Recombinant Process For Preparing a Complete Malaria Antigen GP190/MSPI--.

It is believed that the error was made by the U.S. Patent and Trademark Office since the first page of the specification indicates that the title is "Recombinant Process For Preparing a Complete Malaria Antigen GP190/MSPI". Copies of all of the above documents are attached.

No fee is believed due in connection with this request. However, if for any reason a fee is found to be necessary, the Commissioner is authorized to charge such fee to Deposit Account No. 50-0815.

Respectfully submitted,  
BOZICEVIC, FIELD & FRANCIS LLP

Date: July 7, 2000

By: *Paula A. Borden*

Paula A. Borden  
Registration No. 42,344

BOZICEVIC, FIELD & FRANCIS LLP  
200 Middlefield Road, Suite 200  
Menlo Park, CA 94025  
Telephone: (650) 327-3400  
Facsimile: (650) 327-3231

## CORRECTED FILING RECEIPT



\*OC00000005096902\*

UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark OfficeAddress: ASSISTANT SECRETARY AND  
COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/269,874	08/02/1999	1641	1556	402162000200 <i>GW-003</i>	16	1	1

PAULA A BORDEN  
MORRISON & FOERSTER  
755 PAGE MILL ROAD  
PALO ALTO, CA 943041018

COPY

Date Mailed: 05/04/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

- ✓ HERMANN BUJARD, HEIDELBERG, GERMANY;
- ✓ RALF TOLLE, LUDWIGSBURG, GERMANY;
- ✓ WEIQING PAN, HEIDELBERG, GERMANY;

## Continuing Data as Claimed by Applicant

- ✓ THIS APPLICATION IS A 371 OF PCT/EP97/05441 10/02/1997

## Foreign Applications

- ✓ GERMANY 196 40 817.2 10/02/1996

## \*\* SMALL ENTITY \*\*

## Title

RECOMBINANT PROCESS FOR PREPARING A COMPLETE MALARIA ANTIGEN, GP190/MSP1

## Preliminary Class

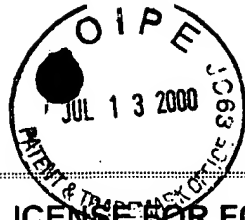
424

Data entry by : BROWN, MICHAEL

Team : 1600

Date: 05/04/2000





**LICENSE FOR FOREIGN FILING UNDER**  
**Title 35, United States Code, Section 184**  
**Title 37, Code of Federal Regulations, 5.11 & 5.15**

**RECEIVED**  
 SEP 01 2000  
 TECH CENTER

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents  
 Office of Initial Patent Examination  
 Customer Service Center  
 Washington, DC 20231

## **Recombinant process for preparing a complete malaria antigen, gp190/MSP1**

The invention concerns a recombinant manufacturing process for the complete malaria antigen gp190/MSP1, as well as separate naturally-occurring domains and parts of the same, by expression of a synthetic DNA sequence. The invention concerns in addition the DNA sequences produced by the process and the host organisms used for the expression of the DNA sequences. In addition the invention concerns the use of the complete malaria antigen as well as parts thereof as a vaccine for immunization against malaria.

Finally the invention under consideration concerns a stabilization process for AT-rich genes, as well as stabilized genes which are characterized by a reduced AT content.

Malaria is one of the most significant infectious diseases in the world. According to WHO reports, in 1990 40% of the world population in 99 countries was exposed to the risk of malaria. At the same time its distribution is enormously on the increase. This may be ascribed above all to intensive development of resistance in the parasites causing malaria, promoted by the recommendation and use as prophylactics of the drugs intended for treatment. Besides the search for new and effective chemotherapeutic agents hope is nowadays directed towards the development of vaccines, since people in areas of the world where malaria is epidemic do manage to develop some kinds of immunity. As well as a natural resistance to malaria, such as that found in heterozygous carriers of the sickle-cell gene and people with thalassaemia and glucose-6-phosphate dehydrogenase deficiency, in the course of malarial infection in humans immune mechanisms can be stimulated which express themselves in a heightened capacity for resistance to the Plasmodia. Consequently the course of the disease in populations exposed to severe epidemics is generally less threatening than in persons exposed to the infection less frequently or for the first time.

The main problem in the development of a vaccine is the identification of an antigen which can induce protective immunity, since there is no easily accessible well-defined animal model available for the four parasites affecting man. The organism causing malaria belongs to the Plasmodium group, of which infection with one of the four parasites Plasmodium vivax,